

OIPE JC102  
JUL 27 2004  
U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

Sheet 1 of 2

Form PTO-1449 (Substitute)		Attorney Docket Number <b>KLYC-1086US1</b>	Serial/Patent Number <b>10/774,664</b>
<b>Information Disclosure Statement</b> <b>BY APPLICANT</b> <i>(Use several sheets if necessary)</i>		Applicant/Patent Owner <b>Charles J. Winslow et al.</b>	
		Filing/Issue Date <b>February 9, 2004</b>	Group Art Unit <b>3732</b>

FOREIGN PATENT DOCUMENTS

Examiner Initials		Document Number	Publication Date	Country	Class	Subclass	Translation Yes   No
JLS	1	DE 3113142 A1	1-1982	Germany			
	2	EP 140790 A2	10-1984	EPO			
	3	EP 146347 A1	12-1984	EPO			
	4	DE 2821678 A1	4-1980	Germany			
	5	EP 322334 A1	12-1988	EPO			
	6	SU 1484348 A1	6-1989	Soviet Union			
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Examiner Initial	Document Number	Publication Date	Country	Class	Subclass	Translation Yes   No
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	25 WO 00/13619	3-2000	PCT			
	26 WO 00/13620	3-2000	PCT			
	27 WO 00/04851	2-2000	PCT			
	28 WO 01/28442 A1	4-2001	PCT			
	29 FR 2806614 A1	9-2001	France			
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JLS	31 EP 1138268 A1	10-2001	EPO			

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JLS	32	Minns, R.J., et al., <i>Preliminary Design and Experimental Studies of a Novel Soft Implant for Correcting Sagittal Plane Instability in the Lumbar Spine</i> , SPINE Volume 22, Number 16, pp.1819-1825, © 1997, Lippincott-Raven Publishers
JLS	33	Waldemar Link, brochure entitled <i>Wirbelsäulen-Chirurgie: Instrumentarium Und Implantate Zur Wirbelsäulen-Chirurgie</i> (Spinal Surgery: Instrumentation and Implants for Spinal Surgery), Waldemar Link, Hamburg, Germany
JLS	34	Haruo Tsuji, et al., <i>Ceramic Interspinous Block (CISB) Assisted Anterior Interbody Fusion</i> , Journal of Spinal Disorders, Vol.3, No.1, pp.77-86, ©1990 Raven Press, Ltd., New York
JLS	35	Richard W. Porter, MD, FRCS, FRCSE, <i>Spinal Stenosis and Neurogenic Claudication</i> , SPINE Volume 21, Number 17, pp.2046-2052, ©1996, Lippincott-Raven Publishers

Examiner /James L Swiger/ (10/20/2006) Date Considered 10/20/2006

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*1 = Copy not submitted because it was submitted in prior application SN / , filed \_\_\_\_\_, 20\_\_\_\_\_, relied on under 35 USC §120.

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		Filing/Issue Date <b>February 9, 2004</b>	Group Art Unit <b>3732</b>

**U.S. PATENTS**

Examiner Initial		Patent Number	Issue Date	First Named Inventor	Class	Subclass	Filing Date
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**U.S. PATENT PUBLICATIONS**

Examiner Initial		Patent Application Publication Number	Publication Date	Applicant

**PENDING U.S. PATENT APPLICATIONS**

Examiner Initial		Application Number	Filing Date	First Named Inventor	Petition to Expunge? Yes   No

**FOREIGN PATENT DOCUMENTS**

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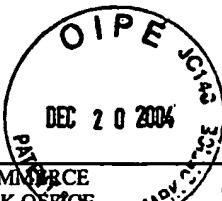
**OTHER DOCUMENTS** (Include author (if any), title, publisher and place of publication, date and pertinent pages)

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<i>Information Disclosure Statement BY APPLICANT (Use several sheets if necessary)</i>			
Applicant/Patent Owner Charles J. Winslow et al.			
Filing/Issue Date February 9, 2004		Group Art Unit 3732	

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Examiner Initial		Patent Number	Issue Date	First Named Inventor	Class	Subclass	Filing Date
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	2.	5,527,312	6/18/1996	Ray			
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JLS	9.	6,770,095	08/03/2004	Grinberg			

### U.S. PATENT PUBLICATIONS

Examiner Initial		Patent Application Publication Number	Publication Date	Applicant

### PENDING U.S. PATENT APPLICATIONS

Examiner Initial		Application Number	Filing Date	First Named Inventor	Petition to Expunge? Yes   No
JLS	10.	2001/0012938	08/09/2001	Zucherman	
▼	11.	2004/0106998	06/03/04	Ferree	
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13.	2004/0143332	07/22/2004	Krueger		
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### FOREIGN PATENT DOCUMENTS

Examiner Initial		Document Number	Publication Date	Country	Class	Subclass	Trans-lation Yes   No

### OTHER DOCUMENTS (Include author (if any), title, publisher and place of publication, date and pertinent pages)

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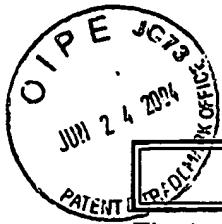
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Attorney Docket No.: KLYC-01086US1  
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## ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of Invention	SYSTEM AND METHOD FOR IMMOBILIZING ADJACENT SPINOUS PROCESSES				
Application Number:	10/774664 				
Confirmation Number:	9446				
First Named Applicant:	Charles Winslow				
Attorney Docket Number:	KLYC1086US1				
Art Unit:	3732				
Search string:	( 5180381 or 5192327 or 5258031 or 5263953 or 5290312 or 5304178 or 5306309 or 5352225 or 5387213 or 5390683 or 5395372 or 5415661 or 5443514 or 5456722 or 5458638 or 5458641 or 5458643 or 5470333 or 5496318 or 5505732 or 5514180 or 5534028 or 5534029 or 5540689 or 5549679 or 5562736 or 5593409 or 5609634 or 5645597 or 5645599 or 5653761 or 5658286 or 5674295 or 5674296 or 5676702 or 5702455 or 5766252 or 5800438 or 5824098 or 5865846 or 5885299 or 5888224 or 5888226 or 5976186 or 6001130 or 6022376 or 6113639 or 6156067 or 6234705 or 5725582 ).pn.				
<b>US Patent Documents</b>					
Note: Applicant is not required to submit a paper copy of cited US Patent Documents					
init	Cite.No.	Patent No.	Date	Patentee	Kind
JLS	1	5180381	1993-01-19	Aust et al.	
	2	5192327	1993-03-09	Brantigan	
	3	5258031	1993-11-02	Salib et al.	
	4	5263953	1993-11-23	Bagby	
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▼	8	5352225	1994-10-04	Yuan et al.	
JLS	9	5387213	1995-02-07	Breard et al.	



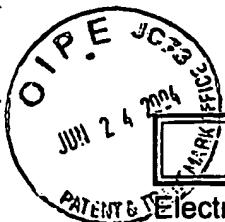
JLS	10	5390683	1995-02-21	Pisharodi
	11	5395372	1995-03-07	Holt et al.
	12	5415661	1995-05-16	Holmes
	13	5443514	1995-08-22	Steffee
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JLS	45	6001130	1999-12-14	Bryan et al.



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	47	6113639	2000-09-05	Ray et al.
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	49	6234705	2001-05-22	Troxell
JLS	50	5725582	1998-03-10	Bevan et al.

Signature

Examiner Name	Date
/James L Swiger/ (10/20/2006)	10/20/2006

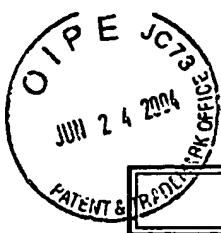


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First Named Applicant:	Charles Winslow						
Attorney Docket Number:	KLYC1086US1						
Art Unit:	3732						
Search string:	( 6261296 or 6436145 or						
<b>US Patent Documents</b>							
Note: Applicant is not required to submit a paper copy of cited US Patent Documents							
init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
JLS	1	6261296	2001-07-17	Aebi et al.			
V	2	6436145	2002-08-20	Miller			
JLS	3	6565570	2003-05-20	Sterett et al.			
Signature							
Examiner Name					Date		
/James L Swiger/ (10/20/2006)					10/20/2006		



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Application Number: 10/774664



Confirmation Number: 9446

First Named Applicant: Charles Winslow

Attorney Docket Number: KLYC1086US1

Art Unit: 3732

Search string: ( 2456806 or 2677369 or 3426364 or 3648691  
or 3867728 or 3875595 or 4309777 or 4349921  
or 4369769 or 4401112 or 4479491 or 4501269  
or 4553273 or 4554914 or 4599084 or 4599086  
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or 5035716 or 5047055 or 5059193 or 5059194  
or 5084049 or 5092866 or 5122130 or 5123926  
or 5167662 or 5055104 ).pn.

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Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
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	2	2677369	1954-05-04	Knowles			
	3	3426364	1969-02-11	Lumb			
	4	3648691	1972-03-14	Lumb et al.			
	5	3867728	1975-02-25	Stubstad et al.			
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	7	4309777	1982-01-12	Patil			
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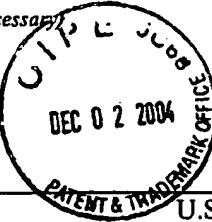
JLS	10	4401112	1983-08-30	Rezaian
	11	4479491	1984-10-30	Martin
	12	4501269	1985-02-26	Bagby
	13	4553273	1985-11-19	Wu
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	17	4604995	1986-08-12	Stephens et al.
	18	4611582	1986-09-16	Duff
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	20	4643178	1987-02-17	Nastari et al.
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	22	4685447	1987-08-11	Iversen et al.
	23	4696290	1987-09-29	Steffee
	24	4714469	1987-12-22	Kenna
	25	4743256	1988-05-10	Brantigan
	26	4772287	1988-09-20	Ray et al.
	27	4790303	1988-12-13	Steffee
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	29	4878915	1989-11-07	Brantigan
	30	4904260	1990-02-27	Ray et al.
	31	4904261	1990-02-27	Dove et al.
	32	4913134	1990-04-03	Luque
	33	4932975	1990-06-12	Main et al.
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	35	4946378	1990-08-07	Hirayama et al.
	36	4961740	1990-10-09	Ray et al.
	37	4969888	1990-11-13	Scholten et al.
	38	5011484	1991-04-30	Breard
	39	5015247	1991-05-14	Michelson
	40	5026373	1991-06-25	Ray et al.
	41	5035716	1991-07-30	Downey
	42	5047055	1991-09-10	Bao et al.
	43	5059193	1991-10-22	Kuslich
▼	44	5059194	1991-10-22	Michelson
JLS	45	5084049	1992-01-28	Asher et al.



JLS	46	5092866	1992-03-03	Breard et al.
	47	5122130	1992-06-16	Keller
↓	48	5123926	1992-06-23	Pisharodi
	49	5167662	1992-12-01	Hayes et al.
JLS	50	5055104	1991-10-08	Ray

Signature

Examiner Name	Date
/James L Swiger/ (10/20/2006)	10/20/2006

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JLS	1.	FR 2724554	03/22/1996	France	A61B	17/70	✓
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JLS	4.	GB 780652	08/07/1957	Great Britain	A61F		✓

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